Final Stock Assessment Terms of Reference for the SAW-62 Working Groups (v. 4-11-16)

(SAW/SARC-62 peer review: 11/29 – 12/2/2016)

A. Black sea bass

1. Summarize the conclusions of the February 2016 SSC peer review regarding the potential for spatial partitioning of the black sea bass stock. The consequences for the stock assessment will be addressed in TOR-6.)

2. Estimate catch from all sources including landings and discards. Characterize the uncertainty in these sources of data. Evaluate available information on discard mortality and, if appropriate, update mortality rates applied to discard components of the catch. Describe the spatial and temporal distribution of fishing effort.

3. Present the survey data being used in the assessment (e.g., indices of abundance, recruitment, state surveys, age-length data, etc.). Investigate the utility of fishery dependent indices as a measure of relative abundance. Characterize the uncertainty and any bias in these sources of data.

4. Consider the consequences of environmental factors on the estimates of abundance or relative indices derived from surveys.

5. Investigate implications of hermaphroditic life history on stock assessment model. If possible, incorporate parameters to account for hermaphroditism.

6. Estimate annual fishing mortality, recruitment and stock biomass (both total and spawning stock), using measures that are appropriate to the assessment model, for the time series (integrating results from TORs-1,-4, & -5 as appropriate), and estimate their uncertainty. Include a historical retrospective analysis and past projection performance evaluation to allow a comparison with most recent assessment results.

7. Estimate biological reference points (BRPs; point estimates or proxies for B_{MSY}, $B_{THRESHOLD}$, F_{MSY}, and MSY), including defining BRPs for spatially explicit areas if appropriate, and provide estimates of their uncertainty. If analytic model-based estimates are unavailable, consider recommending alternative measurable proxies for BRPs. Comment on the appropriateness of existing BRPs and the "new" (i.e., updated, redefined, or alternative) BRPs.

8. Evaluate overall stock status with respect to a new model or new models that considered spatial units developed for this peer review.

9. Develop approaches and apply them to conduct stock projections.

a. Provide numerical annual projections (3-5 years) and the statistical distribution (e.g., probability density function) of the OFL (overfishing level) that fully incorporates observation, process and model uncertainty (see Appendix to the SAW TORs). Each projection should estimate and report annual probabilities of exceeding threshold BRPs for F, and probabilities of falling below threshold BRPs for biomass. Use a sensitivity analysis approach in which a range of assumptions about the most important uncertainties in the assessment are considered (e.g., terminal year abundance, variability in recruitment, and definition of BRPs for black sea bass).
b. Comment on which projections seem most realistic. Consider major uncertainties in the assessment as well as the sensitivity of the projections to various assumptions.
c. Describe this stock's vulnerability (see "Appendix to the SAW TORs") to becoming overfished, and how this could affect the choice of ABC.

10. Review, evaluate and report on the status of the SARC and Working Group research recommendations listed in recent SARC reviewed assessments and review panel reports. Identify new research recommendations.

B. Witch flounder

1. Estimate catch from all sources including landings and discards. Describe the spatial and temporal distribution of landings, discards, and fishing effort. Characterize the uncertainty in these sources of data.

2. Present available federal, state, and other survey data, indices of relative or absolute abundance, recruitment, etc. Characterize the uncertainty and any bias in these sources of data and compare survey coverage to locations of fishery catches. Select the surveys and indices for use in the assessment.

3. Investigate effects of environmental factors and climate change on recruitment, growth and natural mortality of witch flounder. If quantifiable relationships are identified, consider incorporating these into the stock assessment.

4. Estimate annual fishing mortality, recruitment and stock biomass (both total and spawning stock) for the time series (integrating results from TOR-3 if appropriate), and estimate their uncertainty. Include a historical retrospective analysis to allow a comparison with previous assessment results and previous projections. Compare F's and SSB's that were projected during the previous assessment to their realized values.

5. State the existing stock status definitions for "overfished" and "overfishing". Then update or redefine biological reference points (BRPs; point estimates or proxies for B_{MSY}, $B_{THRESHOLD}$, F_{MSY} and MSY) and provide estimates of their uncertainty. If analytic model-based estimates are unavailable, consider recommending alternative measurable

proxies for BRPs. Comment on the scientific adequacy of existing BRPs and the "new" (i.e., updated, redefined, or alternative) BRPs.

6. Evaluate stock status with respect to the existing model (from previous peer reviewed accepted assessment) and with respect to a new model (or possibly models, in accord with guidance in attached "Appendix to the SAW Assessment TORs") developed for this peer review. In both cases, evaluate whether the stock is rebuilt .
    a. When working with the existing model, update it with new data and evaluate stock status (overfished and overfishing) with respect to the updated BRP estimates.
    b. Then use the newly proposed model (or possibly models, in accord with guidance in "Appendix to the SAW Assessment TORs") and evaluate stock status with respect to "new" BRPs and their estimates (from TOR-5).

7. Develop approaches and apply them to conduct stock projections.
    a. Provide numerical annual projections (3 years) and the statistical distribution (e.g., probability density function) of the catch at F_{MSY} or an F_{MSY} proxy (i.e. the overfishing level, OFL) (see Appendix). Each projection should estimate and report annual probabilities of exceeding threshold BRPs for F, and probabilities of falling below threshold BRPs for biomass. Use a sensitivity analysis approach in which a range of assumptions about the most important uncertainties in the assessment are considered (e.g., terminal year abundance, magnitude and variability in recruitment).
    b. Comment on which projections seem most realistic. Consider the major uncertainties in the assessment as well as sensitivity of the projections to various assumptions. Identify reasonable projection parameters (recruitment, weight-at-age, retrospective adjustments, etc.) to use when setting specifications.
    c. Describe this stock's vulnerability to becoming overfished, and how this could affect the choice of ABC. The choice takes scientific uncertainty into account (see Appendix).

8. Evaluate the validity of the current stock definition, taking into account what is known about migration, and make a recommendation about whether there is a need to modify the current stock definition for future stock assessments.

9. Review, evaluate and report on the status of research recommendations from the last peer reviewed benchmark stock assessment. Identify new research recommendations.

Clarification of Terms used in the SAW/SARC Terms of Reference

Guidance to SAW WG about "Number of Models to include in the Assessment Report":

In general, for any TOR in which one or more models are explored by the WG, give a detailed presentation of the "best" model, including inputs, outputs, diagnostics of model

adequacy, and sensitivity analyses that evaluate robustness of model results to the assumptions. In less detail, describe other models that were evaluated by the WG and explain their strengths, weaknesses and results in relation to the "best" model. If selection of a "best" model is not possible, present alternative models in detail, and summarize the relative utility each model, including a comparison of results. It should be highlighted whether any models represent a minority opinion.

On "Acceptable Biological Catch" (DOC Nat. Stand. Guidel. Fed. Reg., v. 74, no. 11, 1-16-2009):

Acceptable biological catch (ABC) is a level of a stock or stock complex's annual catch that accounts for the scientific uncertainty in the estimate of Overfishing Limit (OFL) and any other scientific uncertainty…" *(p. 3208) [In other words, OFL ≥ ABC.]*

ABC for overfished stocks. For overfished stocks and stock complexes, a rebuilding ABC must be set to reflect the annual catch that is consistent with the schedule of fishing mortality rates in the rebuilding plan. *(p. 3209)*

NMFS expects that in most cases ABC will be reduced from OFL to reduce the probability that overfishing might occur in a year. (p. 3180)

ABC refers to a level of ''catch'' that is ''acceptable'' given the ''biological'' characteristics of the stock or stock complex. As such, Optimal Yield (OY) does not equate with ABC. The specification of OY is required to consider a variety of factors, including social and economic factors, and the protection of marine ecosystems, which are not part of the ABC concept. (p. 3189)

On "Vulnerability" (DOC Natl. Stand. Guidelines. Fed. Reg., v. 74, no. 11, 1-16-2009):

"Vulnerability. A stock's vulnerability is a combination of its productivity, which depends upon its life history characteristics, and its susceptibility to the fishery. Productivity refers to the capacity of the stock to produce Maximum Sustainable Yield (MSY) and to recover if the population is depleted, and susceptibility is the potential for the stock to be impacted by the fishery, which includes direct captures, as well as indirect impacts to the fishery (e.g., loss of habitat quality)." (p. 3205)

Participation among members of a Stock Assessment Working Group:

Anyone participating in SAW meetings that will be running or presenting results from an assessment model is expected to supply the source code, a compiled executable, an input file with the proposed configuration, and a detailed model description in advance of the model meeting. Source code for NOAA Toolbox programs is available on request. These measures allow transparency and a fair evaluation of differences that emerge between models.