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SEI WHALE (*Balaenoptera borealis borealis*): Nova Scotia Stock

STOCK DEFINITION AND GEOGRAPHIC RANGE

Mitchell and Chapman (1977) reviewed the sparse evidence on stock identity of northwestern Atlantic sei whales, and suggested two stocks—a Nova Scotia stock and a Labrador Sea stock. The range of the Nova Scotia stock includes the continental shelf waters of the northeastern U.S., and extends northeastward to south of Newfoundland. The Scientific Committee of the International Whaling Commission (IWC), while adopting these general boundaries, noted that the stock identity of sei whales (and indeed all North Atlantic whales) was a major research problem (Donovan 1991). In the absence of evidence to the contrary, the proposed IWC stock definition is provisionally adopted, and the "Nova Scotia stock" is used here as the management unit for this stock assessment. The IWC boundaries for this stock are from the U.S. east coast to Cape Breton, Nova Scotia, thence east to longitude 42° W. Recent telemetry evidence offers some support that sei whales foraging in the Labrador Sea winter in the Azores and constitute a separate stock (Prieto *et al*. 2014).

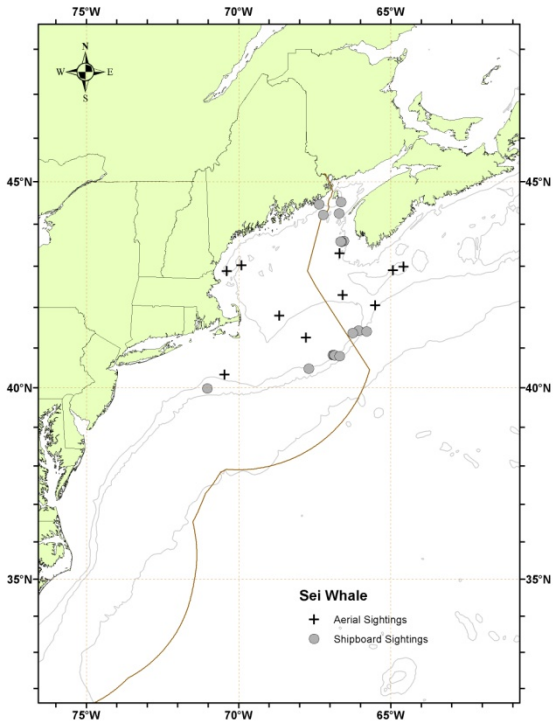

Figure 1. *Distribution of sei whale sightings from NEFSC and SEFSC shipboard and aerial surveys during the summers of 1995, 1998, 1999, 2002, 2004, 2006, 2007, 2008, 2010 and 2011. Isobaths are the 100-m, 1000-m and 4000-m depth contours.*

Indications are that, at least during the feeding season, a major portion of the Nova Scotia sei whale stock is centered in northerly waters, perhaps on the Scotian Shelf (Mitchell and Chapman 1977). The southern portion of the species' range during spring and summer includes the northern portions of the U.S. Atlantic Exclusive Economic Zone (EEZ)—the Gulf of Maine and Georges Bank. Spring is the period of greatest abundance in U.S. waters, with sightings concentrated along the eastern margin of Georges Bank and into the Northeast Channel area, and along the southwestern edge of Georges Bank in the area of Hydrographer Canyon (CETAP 1982). NMFS aerial surveys since 1999 have found concentrations of sei and right whales along the northern edge of Georges Bank in the spring. The sei whale is often found in the deeper waters characteristic of the continental shelf edge region (Hain *et al.* 1985), and NMFS aerial surveys found substantial numbers of sei whales in this region, in particular south of Nantucket, in the spring of 2001. Similarly, Mitchell (1975) reported that sei whales off Nova Scotia were often distributed closer to the 2,000-m depth contour than were fin whales.

This general offshore pattern of sei whale distribution is disrupted during episodic incursions into shallower, more inshore waters. Although known to eat fish in other oceans, sei whales (like right whales) are largely planktivorous, feeding primarily on euphausiids and copepods (Flinn *et al.* 2002). A review of prey preferences by Horwood (1987) showed that, in the North Atlantic, sei whales seem to prefer copepods over all other prey species. In Nova Scotia sampled stomachs from captured sei whales showed a clear preference for copepods between June and October, and euphausiids were taken only in May and November (Mitchell 1975). Sei whales are reported in some years in more inshore locations, such as the Great South Channel (in 1987 and 1989) and Stellwagen Bank (in 1986) areas (R.D. Kenney, pers. comm.; Payne *et al.* 1990). An influx of sei whales into the southern Gulf of Maine occurred in the summer of 1986 (Schilling *et al.* 1993). Such episodes, often punctuated by years or even decades of absence from an area, have been reported for sei whales from various places worldwide (Jonsgård and Darling 1977).

Based on analysis of records from the Blandford, Nova Scotia, whaling station, where 825 sei whales were

taken between 1965 and 1972, Mitchell (1975) described two "runs" of sei whales, in June–July and in September–October. He speculated that the sei whale stock migrates from south of Cape Cod and along the coast of eastern Canada in June and July, and returns on a southward migration again in September and October; however, such a migration remains unverified.

POPULATION SIZE

The summer 2011 abundance estimate of 357 (CV=0.52) is considered the best available for the Nova Scotia stock of sei whales. However, this estimate must be considered conservative because all of the known range of this stock was not surveyed, because it did not include an availability-bias correction for animals missed while submerged, and because of uncertainties regarding population structure and whale movements between surveyed and unsurveyed areas.

Earlier abundance estimates

Please see appendix IV for earlier abundance estimates. As recommended in the GAMMS Workshop Report (Wade and Angliss 1997), estimates older than eight years are deemed unreliable for determination of the current PBR.

Recent surveys and abundance estimates

An abundance estimate of 357 (CV=0.52) sei whales was generated from a shipboard and aerial survey conducted during June–August 2011 (Palka 2012). The aerial portion that contributed to the abundance estimate covered 5,313 km of tracklines that were over waters from north of New Jersey from the coastline to the 100-m depth contour, through the U.S. and Canadian Gulf of Maine and up to and including the lower Bay of Fundy. The shipboard portion covered 3,107 km of tracklines that were in waters offshore of Virginia to Massachusetts (waters that were deeper than the 100-m depth contour out to beyond the U.S. EEZ). Both sighting platforms used a double-platform data collection procedure, which allows estimation of abundance corrected for perception bias of the detected species (Laake and Borchers 2004). Estimation of the abundance was based on the independent observer approach assuming point independence (Laake and Borchers 2004) and calculated using the multiple-covariate distance sampling (MCDS) option in the computer program Distance (version 6.0, release 2, Thomas *et al.* 2009). The abundance estimates of sei whales include a percentage of the estimate of animals identified as fin/sei whales (the two species being sometimes hard to distinguish). The percentage used is the ratio of positively identified sei whales to the total of positively identified fin whales and positively identified sei whales; the CV of the abundance estimate includes the variance of the estimated fraction. Although this is the best estimate available for this stock, it should be noted that the abundance survey from which it was derived excluded waters off the Scotian Shelf, an area encompassing a large portion of the stated range of the stock.

Table 1. Summary of recent abundance estimates for Nova Scotia sei whales with month, year, and area covered during each abundance survey, and resulting abundance estimate (N_{best}) and coefficient of variation (CV).

Month/Year	Area	N_{best}	CV
Jun-Aug 2011	Central Virginia to lower Bay of Fundy	357	0.52

Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normally distributed best abundance estimate. This is equivalent to the 20th percentile of the log-normal distribution as specified by (Wade and Angliss 1997). The best estimate of abundance for the Nova Scotia stock sei whales is 357 (CV=0.52). The minimum population estimate is 236.

Current Population Trend

A trend analysis has not been conducted for this stock. The statistical power to detect a trend in abundance for this stock is poor due to the relatively imprecise abundance estimates and long survey interval. For example, the power to detect a precipitous decline in abundance (i.e., 50% decrease in 15 years) with estimates of low precision (e.g., CV > 0.30) remains below 80% (alpha = 0.30) unless surveys are conducted on an annual basis (Taylor *et al.* 2007).

CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Current and maximum net productivity rates are unknown for this stock. For purposes of this assessment, the maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow *et al.* 1995).

POTENTIAL BIOLOGICAL REMOVAL

Potential Biological Removal (PBR) is the product of minimum population size, one-half the maximum productivity rate, and a recovery factor (MMPA Sec. 3. 16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size is 236. The maximum productivity rate is 0.04, the default value for cetaceans. The recovery factor is 0.10 because the sei whale is listed as endangered under the Endangered Species Act (ESA). PBR for the Nova Scotia stock of the sei whale is 0.5.

ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

For the period 2010 through 2014, the minimum annual rate of human-caused mortality and serious injury to sei whales was 0.8. This value includes incidental fishery interaction records, 0, and records of vessel collisions, 0.8 (Table 2; Henry *et al*. 2016). Annual rates calculated from detected mortalities should not be considered an unbiased estimate of human-caused mortality, but they represent a definitive lower bound. Detections are haphazard, incomplete, and not the result of a designed sampling scheme. As such they represent a minimum estimate of human-caused mortality which is almost certainly biased low.

Fishery-Related Serious Injury and Mortality

No confirmed fishery-related mortalities or serious injuries of sei whales have been reported in the NMFS Sea Sampling bycatch database. A review of the records of stranded, floating, or injured sei whales for the period 2010 through 2014 on file at NMFS found no records with substantial evidence of fishery interactions causing serious injury or mortality (Table 2), which results in an annual serious injury and mortality rate of 0 sei whales from fishery interactions.

Table 2. Confirmed human-caused mortality and serious injury records of Sei Whales (*Balaenoptera borealis*) where the cause was assigned as either an entanglement (EN) or a vessel strike (VS): 2010–2014 [a]

Date[b]	Injury Determination	ID	Location[b]	Assigned Cause	Value against PBR[c]	Country[d]	Gear Type[e]	Description
3/26/2011	Mortality		Virginia Beach, VA	VS	1	US	-	Jaw, scapula, rib & vertebral fractures along right side w/ associated hemorrhaging.
5/4/2014	Mortality		Hudson River, NY	VS	1	US	-	Fresh carcass on bow of vessel. Extensive skeletal fractures w/ associated hemorrhage along right side.
5/7/2014	Mortality		Delaware River, PA	VS	1	US	-	Fresh carcass on bow of

								vessel.
8/14/2014	Mortality		James River, VA	VS	1	US	-	Live stranded and died. Emaciated. Fragment of plastic DVD case in stomach. Broken bones w/ associated hemorrhaging. Proximate COD – starvation by ingestion of plastic debris. Ultimate COD – blunt trauma from vessel strike
Five-year averages		Shipstrike (US/CN/XU/XC)			0.80 (0.80/ 0.00/ 0.00/ 0.00)			
		Entanglement (US/CN/XU/XC)			00			
a. For more details on events please see Henry *et al.* 2016.								
b. The date sighted and location provided in the table are not necessarily when or where the serious injury or mortality occurred; rather, this information indicates when and where the whale was first reported beached, entangled, or injured.								
c. Mortality events are counted as 1 against PBR. Serious injury events have been evaluated using NMFS guidelines (NOAA 2012)								
d. CN=Canada, US=United States, XC=Unassigned 1st sight in CN, XU=Unassigned 1st sight in US								
e. H=hook, GN=gillnet, GU=gear unidentifiable, MF=monofilament, NP=none present, NR=none recovered/received, PT=pot/trap, WE=weir								

Other Mortality

For the period 2010 through 2014 files at NMFS included four records with substantial evidence of vessel collision causing serious injury or mortality (Table 2), which resulted in an annual rate of serious injury and mortality of 0.8 sei whales from vessel collisions.

STATUS OF STOCK

This is a strategic stock because the sei whale is listed as an endangered species under the ESA. The total U.S. fishery-related mortality and serious injury for this stock derived from the available records was less than 10% of the calculated PBR, and therefore could be considered insignificant and approaching a zero mortality and serious injury rate. However, evidence for fisheries interactions with large whales are subject to imperfect detection, and caution should be used in interpreting these results. The status of this stock relative to OSP in the U.S. Atlantic EEZ is unknown. There are insufficient data to determine population trends for sei whales.

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