



**Social Sciences Branch
Northeast Fisheries Science Center
Woods Hole, MA**



Northeast Fishing Vessel Annual Cost Survey for 2011



Photo credit: Lisa Colburn

Thank you very much for participating in this important survey! Your responses and experience will help the Social Sciences Branch as we communicate the economic pressures faced by fishing vessel owners to regulatory agencies.

The questions in this survey relate to the following vessel only:

{ vessel name }

{12345678} (Coast Guard Documentation or State Registration Number)

You can take this survey online at: www.vesselsurvey.org

Your Password : [12345678]

This is a secure website.

Your responses and participation in this survey are CONFIDENTIAL. A private contractor, Eastern Research Group, Inc., will collect the data you provide. No one outside their survey team will see the individual surveys.

Questions about the survey? **Toll-free Survey Helpline: 1-855-314-0779; Email: vesselsurvey@erg.com**

Public reporting burden for this collection of information is estimated to average 60 minutes per survey, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Tammy Murphy, NOAA Line office, 166 Water Street, Woods Hole, MA, 02543.

Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. Responses are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

General Instructions:

- **This survey is about your costs in 2011 for the vessel identified on the cover of this survey.** In your answers, include combined costs for all state and/or federal fisheries for this vessel in 2011, including costs incurred while the vessel was inactive.
- **If you owned more than one vessel in 2011:** Some costs we ask about are general and not tied to one vessel. For these overall costs, please divide the total overall cost by the number of vessels you owned in 2011, and enter that amount.

Please note that all responses are completely confidential.

Section A: Vessel Information

This survey is only about the vessel identified on the cover page of this survey. All costs requested are for 2011.

1. Please describe the ownership type of this vessel by checking the appropriate box in 1(a) and/or 1(b):

1a). Vessel Ownership Type (*check one*):

- A. Sole proprietorship
- B. General partnership
- C. Limited partnership
- D. Corporation
- E. Other _____

1b). If you checked “D” (corporation), please check which type of corporation:

- C corporation
- S corporation
- Limited Liability Corporation (LLC)
- Other _____

2. Please list the number of owners: _____

3. Was this vessel acquired from a previous owner or was it bought new?
Acquired from a previous owner New

4. In what calendar year did you acquire the vessel? _____

5. Please estimate the market value of your vessel **at this point in time** including all equipment, fishing gear, **permits**, and fishing history. (Note: This estimate should be based on your best assessment of the most someone else would be willing to pay for your vessel. **The estimate should not be based on what you paid for the vessel, how much you owe, or what you would like to receive for the vessel**).

\$ _____

Section B: Repair/Maintenance/Upgrade/Improvements Costs

6a). Was this vessel hauled out in 2011 for any reason? (Possible reasons include regular repair and maintenance, emergency haul-out, long term storage, etc.)

Yes No [If No, please go to 6c.]

6b). If yes, what was the cost of the haul-out (the cost for taking the vessel in and out of the water and for any transportation)? If hauled out for repair/maintenance, **do not include the actual repair/maintenance costs.** You will record these in question 7 below.

\$ _____

6c). What is the typical haul-out frequency for this vessel?

Every year Every other year Every ____years Every ____months

Other (please describe) _____

7. Please record any repair/maintenance and upgrade/improvement costs that were made to the vessel in year 2011 in the table on the next page.

Instructions:

- For each category listed in the first column of the table below, indicate whether you spent any amount by checking the “Yes” box and listing the amount spent in the blank space in the appropriate column.
- If you did not have an expense in 2011, then check the “No” box.
- Describe the upgrade/improvement in the assigned row for each cost category.
- Include the cost of any tools and equipment you may have purchased.

Note: You may find some of these expenses listed on your 2011 tax form, Schedule C.

*We are aware that these kinds of costs may vary significantly year to year. However, **this survey is about 2011 expenses only.***

Please use your best judgment to assign your expenses to either repair/maintenance or upgrade/improvement. Do not report the same cost under both types of expenses.

Expense Category	Annual Amount Spent in 2011 on Regular Repair/Maintenance	Annual Amount Spent in 2011 on Upgrades/Improvements
Propulsion Engine (such as engine, drive train, exhaust/cooling systems)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
Describe Upgrade/Improvement:		
Deck equipment/other machinery (such as winches, haulers, generators, hydraulics, compressors, reels, pumps)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
Describe Upgrade/Improvement:		
Hull (such as frame, deck, wheelhouse, keel, steering, rigging, fish holds, fuel tanks)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
Describe Upgrade/Improvement:		
Fishing Gear (such as Codends, nets/panels, dredges, buoys, highfliers, doors, pots/traps, cables)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
Describe Upgrade/Improvement:		

Wheelhouse and gear electronics (such as Radar, GPS, VMS, sounder, radio, depth/temperature/net sensors)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
	Describe Upgrade/Improvement:	
Processing/Refrigeration (such as RSW, packaging equipment, icemaker)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
	Describe Upgrade/Improvement:	
Safety equipment (such as EPIRB, rafts, fire extinguishers, flares, survival suits)	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00	<input type="checkbox"/> Yes; \$_____, _____00 <input type="checkbox"/> No; \$0.00
	Describe Upgrade/Improvement:	

Section C: Fishing Business Related Costs

8. For each category listed in the table below, indicate whether you spent any amount by checking the “Yes” box and listing the total amount spent in 2011 in the blank space. If you did not have an expense in 2011, then check the “No” box.

Note: If you own multiple vessels, certain costs may need to be divided among vessels. Please record the annual costs associated with this vessel only.

<p><u>Mooring/Dockage Fees</u> for this vessel in 2011 (including upkeep expenses):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Workshop/Storage Expenses</u> for this vessel in 2011 (such as gear shed rental, workshop expense):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Office Expenses</u> for this vessel in 2011 (such as office supplies, office rental, home office, office utilities (electric, heat etc.), postage, photocopying, computer etc; exclude communication costs):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Permit and/or License fees</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Business Vehicle Usage Costs</u> in 2011 for this vessel only (for fishing business related purposes only; such as, number of miles the vehicle was used for business multiplied by a standard mileage rate):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Business Travel Costs</u> in 2011 for this vessel only (such as cost of lodging, travel, and transportation for business associated travel <u>excluding business vehicle costs</u>):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Association Fees Paid</u> in 2011 for this vessel (such as co-operative, fishing organization, sector fees, union dues):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Professional Fees Paid</u> in 2011 for this vessel (such as settlement, accounting, legal fees):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>

<p><u>Vessel Insurance Premium</u> in 2011 for this vessel (premium paid for either hull or P & I insurance):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><i>Number of months insured:</i> ____</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Quota or DAS lease</u> in 2011 for this vessel (if non-monetary payments were used to obtain quota or DAS, please estimate the value of those non-monetary payments):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Principal Paid on Business Loans</u> in 2011 for this vessel only (enter only payment made, <i>not</i> the amount owed):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Interest Paid on Business Loan</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Vessel Activity/Quota Monitoring Costs</u> for this vessel in 2011 (such as observer or dock side monitoring costs):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Advertising Costs</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Total Payments to Crew and Hired Captain</u> in 2011 for this vessel only (do not include what you, as the owner, keep as the boat's share):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>	<p><u>Crew Benefits</u> for this vessel in 2011 (the cost to you, as the vessel owner, for providing retirement benefits; health, life, or disability insurance premiums; and unemployment insurance for your crew):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><input type="checkbox"/> No; \$0.00</p>
<p><u>Non-crew Labor Services</u> for this vessel in 2011 (such as: night watchman, office secretary etc.):</p> <p><input type="checkbox"/> Yes; \$_____, _____00</p> <p><i>Describe:</i> _____</p> <p><input type="checkbox"/> No; \$0.00</p>	

Section D: Operating Costs

9. For each expense category listed in the table below, indicate whether you spent any amount by checking the “Yes” box and listing the **ANNUAL** amount spent in 2011 in the blank space. If you did not have an expense, then check the “No” box.

Please enter the total amount spent in each category, irrespective of whether it was paid by you or the crew.

We are aware that these kinds of costs may vary significantly year to year. Please bear in mind that this survey is about 2011 expenses only.

<p><u>Fuel</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00; <input type="checkbox"/> I don't know</p>	<p><u>Food and drinking water</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>
<p><u>Ice</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>	<p><u>Bait</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>
<p><u>Fresh Water</u> for use in this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>	<p><u>Communication Costs</u> (such as: cell phones, radio etc.) for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>
<p><u>General Fishing Supplies</u> for this vessel in 2011 (such as: knives, picks, hooks, boxes, bags, ties, lobster bands, rags, tape, links/rings, lines/twine etc.):</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>	<p><u>General Crew Supplies</u> for this vessel in 2011 (such as: gloves, boot liners, foul weather gear etc.):</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>
<p><u>Catch handling costs</u> for this vessel in 2011 (such as: auction, lumping, grading, shipping etc):</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>	<p><u>Other costs</u> for this vessel in 2011:</p> <p><input type="checkbox"/> Yes; \$ __, ____, ____.00</p> <p><input type="checkbox"/> No; \$0.00 <input type="checkbox"/> I don't know</p>

Section E: Typical Crew Payment System

10a). Did you hire a captain for the majority of this vessel's trips in 2011, or were you the captain for most trips?

Owner-operated Hired captain Other _____

10b). Excluding the captain, what is the size of the crew (on average) in the fishery you participated?

_____ crew members

IF YOU ARE AN OWNER/OPERATOR AND HAVE NO CREW,
PLEASE SKIP TO QUESTION 11. OTHERWISE, PLEASE CONTINUE WITH
QUESTION 10C.

10c). Please use the diagram **on the next page** to indicate the crew payment system you typically use (or use for your primary fishery) to describe how your crew is paid. Use the diagram to provide information on the expenses that are typically taken out of gross revenue, net revenue or crew's share. You only need to list the *type* of expenses deducted (for example: "fuel", "ice", "food"); you do not need to list the cost.

<p>GROSS REVENUE</p> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> <p>LESS EXPENSES (LIST):</p> <hr/><hr/><hr/><hr/><hr/><hr/><hr/><hr/> </div>		
<p>(Percentages below must add to 100)</p>		
<p>BOAT'S PERCENTAGE: ___ %</p>	<p>CREW'S PERCENTAGE : ___ %</p> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> <p>LESS EXPENSES (LIST):</p> <hr/><hr/><hr/><hr/><hr/><hr/><hr/><hr/> </div>	<p>CAPTAIN'S PERCENTAGE: ___ %</p> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> <p>LESS EXPENSES (LIST):</p> <hr/><hr/><hr/><hr/><hr/><hr/><hr/><hr/> </div>

10d). Please list the *types* (not the cost) of items crew members purchase for themselves and are NOT part of the crew payment system (these expenses would not be included in the diagram above). Examples include: “food on day boats”, “foul weather gear”, “gloves”, etc.

NOTE: If the diagram displayed in the above is not appropriate for your crew payment system, please use the space below to describe your crew payment system.

Section F: Other 2011 Annual Costs for this vessel (not listed in previous sections)

11. Please record costs here that you have incurred in 2011 but **have not reported elsewhere in this survey** (Please do not report your personal costs).

Cost	Description of other annual costs
\$ _____	_____
\$ _____	_____
\$ _____	_____

12. While not typically a business expense, personal health insurance (the cost of the insurance premium **you** pay for your and/or your family's health insurance) can be a significant expense for fishing families. Please answer below if you have spent any amount on personal health insurance cost in 2011:

- Yes; \$_____, _____00
- No, I (and my family) don't have health insurance; \$0.00
- No, I am covered by my spouse's/partner's plan; \$0.00

Thank you for your response. Please use the space below and the next page to provide additional information or comments.

Comments